

## **FORM PTO-1449**

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. I-2-0203.4US

SERIAL NO. 10/085,187

APPLICANT Joseph A. Kwak

FILING DATE February 27, 2002 GROUP 2662

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATÉ IF APPROPRIATE
	4,912,705	03/1990	Paneth et al.			
	5,101,406	03/1992	Messenger	<u> </u>		
	5,280,498	01/1994	Tymes et al.	1		
	5,345,439	09/1994	Marston			
	5,345,600	09/1994	Davidson			
	5,351,016	09/1994	Dent	<u> </u>		*****
	5,544,196	08/1996	Tiedemann, Jr. et al.			
	5,570,369	10/1996	Jokinen			
	5,648,969	07/1997	Pasternak et al.			***************************************
	5,657,325	08/1997	Lou et al.			
	5,715,260	02/1998	Black et al.			
	5,726,978	03/1998	Frodigh et al.	<u> </u>		
	5,729,557	03/1998	Gardner et al.			
	5,828,677	10/1998	Sayeed et al.			
	5,838,267	11/1998	Wang et al.			
	5,918,156	06/1999	Tanabe			
······································	5,946,320	08/1999	Decker			
	5,954,839	09/1999	Park et al.2			
	5,954,839	09/1999	Park et al.17			
	5,956,624	09/1999	Hunsinger et al.			
	5,982,760	11/1999	Chen			
	5,983,382	11/1999	Pauls			
	5,983,383	11/1999	Wolf			
	5,983,384	11/1999	Ross			
	6,021,123	02/2000	Mimura	1		

EXAMINER	DATE CONSIDERED

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,021,124	02/2000	Haartsen <sup>3</sup>			
	6,049,549	04/2000	Ganz et al.			
	6,064,692	05/2000	Chow	<u> </u>		
	6,128,276	10/2000	Agee			
	6,130,918	10/2000	Humphrey et al			
	6,134,694	10/2000	Uebayashi et al.			
	6,138,260	10/2000	Ketseoglou			
	6,145,108	11/2000	Ketseoglou		<del> </del>	
	6,154,489	11/2000	Kleider et al.			
	6,189,123	02/2001	Anders Nystrom et al.			
	6,208,663	03/2001	Schramm et al.4			
	6,212,240	04/2001	Scheibel, Jr. et al.			
	6,212,659	04/2001	Zehavi			
	6,215,827	04/2001	Balachandran et al.	1	1	
	6,226,520	05/2001	Jeoung <sup>13</sup>		<u> </u>	
	6,233,711	05/2001	Murayama et al.			
	6,262,994	07/2001	Dirschedl et al.	1		
	6,272,183	08/2001	Berens et al.			
	6,275,488	08/2001	Cudak et al.			
	6,289,003	09/2001	Raitola et al.			
	6,301,479	10/2001	Roobel et al.			
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	6,317,418	11/2001	Raitola et al.			
	6,359,877	03/2002	Rathonyi et al.			
	6,366,601	04/2002	Ghosh et al.			
	6,370,669	04/2002	Eroz et al.	1		

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,421,803	07/2002	Persson et al.			
	6,449,246	09/2002	Barton et al.			
	6,470,391	10/2002	Takamoto et al.			
	6,473,399	10/2002	Johansson et al.11			
	6,519,065	02/2003	Colbourne et al.			
	6,522,650	02/2003	Yonge et al.			
	6,529,561	03/2003	Sipola			
	6,587,985	07/2003	Fukushima et al.		· · · · · · · · · · · · · · · · · · ·	
	6,601,207	07/2003	Vanttinen 5			
	6,621,807	09/2003	Jung et al. 6			
	6,647,076	11/2003	Schenk et al.		<u> </u>	
	6,671,266	12/2003	Moon et al. 7			
	6,735,180	05/2004	Malkamaki et al.			
	6,760,860	07/2004	Fong et al.			
	6,831,910	12/2004	Moon et al. 8		1	
	6,895,010	05/2005	Chang et al. 9			
	6,907,005	06/2005	Dahlman et al.			
	6,931,569	08/2005	Fong et al.			,
	6,956,855	10/2005	Chang 10			
	7,164,654	01/2007	Hunzinger et al.			
	2002/0034182	02/2002	Mallory			
	2002/0037000	03/2002	Park et al.20			
	2002/0037058	03/2002	Birru	1		
	2002/0064167	05/2002	Khan et al.			
*	2002/0075867	06/2002	Herrmann <sup>21</sup>			
	2002/0191544	12/2002	Cheng et al.			

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## SERIAL NO. FORM PTO-1449 ATTY. DOCKET NO. I-2-0203.4US 10/085,187 U.S. DEPARTMENT OF COMMERCE **APPLICANT** PATENT AND TRADEMARK OFFICE Joseph A. Kwak INFORMATION DISCLOSURE **GROUP FILING DATE** STATEMENT BY APPLICANT 2662 February 27, 2002 (Use several sheets if necessary) EXAMINER INITIAL FILING DATE IF DOCUMENT NUMBER DATE NAME CLASS SUBCLASS 2003/0039226 02/2003 Kwak FOREIGN PATENT DOCUMENTS TRANSLATION EXAMINER INITIAL COUNTRY SUBCLASS DOCUMENT NUMBER DATE CLASS YES NO 05/2001 CA 2352696 CN 1132772 01/2004 0797327 09/1997 EP 08/1998 EP 0859475 X\*\* JP 07-336331 12/1995 X\*\* 09-298575 11/1997 JP X\*\* 09-247209 09/1997 JP JP X\*\* 2000-188609 07/2000 X\*\* 01-141431 06/1989 JP X\*\* 09-116597 05/1997 JP X\*\* JP 2001-103034 04/2001 X\*\* 11-046217 02/1999 JP X\*\* 2000-004196 01/2000 JP 2003/0004618 01/2003 KR Х 2179370 02/2002 RU 2195768 12/2002 RU 2221338 01/2004 RU RU 2221339 01/2004 RU 2235432 08/2004 RU Χ 2282310 10/2003 RU Χ 2294055 01/2006 DATE CONSIDERED **EXAMINER**

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		FILING DATE February 27, 2002	GROL 2662			
					TRANSLATION	
	97118086	07/1999	RU			
ļ	2001126714	07/2003	RU			
	431084	04/2001	TW <sup>19</sup>			
	00/10298	02/2000	WO			
	99/12303	03/1999	WO			
	99/09698	02/1999	WO			
	97/37459	10/1997	WO ¹			
	98/31106	07/1998	WO 14			
	01/01624	01/2001	WO 15			
	02/33877	04/2002	WO 16			
	99/66675	12/1999	WO <sup>12</sup>			
	2004/038990	06/2004	WO18			
		OTHER D	OCUMENTS			
EXAMINER INITIAL	DESCF	RIPTION (Includi	ing Author, Title, Date, Pertine	ent Pages, Etc.)		
	"Hybrid ARQ Methods for FDD in Release in 2000," TSG-RAN Working Group 1 Meeting #14, Oulu, Finland (July 4-7, 2000).					
	3GPP, "Physical Layer Aspects of UTRA High Speed Downlink Packet Access," 3GPP TR 25.848 V4.0.0 (2001-03), pp. 1-89.					
			Technical Specification Group k Packet Access (Release 4),			
			Technical Specification Group e 2000), 3GPP TR 25.835 V1.			
	Scheme and Code Cor	nbing," Persona	tation of a Mobile Data Link Pr Il Communication – Freedom echnology Conference, pp. 77	Through Wireless Tec	chnology.	

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EXAMINER INITIAL	DESCRIPTION (Including Author, Title, Date, Pertinent Pages, Etc.)
	ERIKKSON ET AL. "Comparison of Link Quality Control Strategies for Packet Data Services in EDGE." Vehicular Technology Conference, 1999 IEEE, pp. 938-942, (May 16, 1999).
	KIM ET AL. "On Hybrid ARQ of Reverse Channel in 1xEV-DV", Montreal Canada, C50-20010709-019 SAMSUNG_Reverse Channel HARQ.doc, (July 10, 2001).
	MUNETA ET AL., "A New Frequency-Domain Link Adaptation Scheme for Broadband OFDM Systems," IEEE VTS 50th Vehicular Technology Conference, Vol. 1, pp. 253- 257 (1999).
	ROHLING ET AL. "Performance Comparison of Different Multiple Access Schemes for the Downlink of an OFDM Communication System", 1997 IEEE 47th Vehicular Technology Conference, May 1997, vol. 3, p. 1368, (May 1997).
	SAYEED, "Throughput Analysis and Design of Fixed and Adaptive ARQ/Diversity Systems for Slow Fading Channels." Global Telecommunications Conference, 1998, pp. 3686-3691 (November 8, 1998).
	SHIOZAKI ET AL. "A Hybrid ARQ Scheme With Adaptive Forward Error Correction for Satellite Communications." IEEE Transactions on Communications, pp. 482-484 (April 1, 1991).
	STALLINGS, "Networking Standards – A Guide to OSI, ISDN, LAN, and MAN Standards", p. 37, (February 1993).
	WANG ET AL. "Error Control and Concealment for Video Communication A Review 1".

<sup>\*\*</sup> Abstract Only

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<sup>&</sup>lt;sup>1</sup> corresponds to JP 2000-507777; <sup>2</sup> corresponds to RU 2195768; <sup>3</sup> corresponds to CN 1275279; <sup>4</sup> corresponds to CN 1277766;

<sup>&</sup>lt;sup>5</sup> corresponds to RU 2001126714; <sup>6</sup> corresponds to RU 2195089; <sup>7</sup> corresponds to RU 2179370; <sup>8</sup> corresponds to RU 2210864;

<sup>&</sup>lt;sup>9</sup> corresponds to RU 2221338; <sup>10</sup> corresponds to RU 2221339; <sup>11</sup> corresponds to RU 2235432; <sup>12</sup> corresponds to CN 1275299;

<sup>&</sup>lt;sup>13</sup> corresponds to RU 2138125; <sup>14</sup> corresponds to RU 2234806; <sup>15</sup> corresponds to RU 2216868; <sup>16</sup> corresponds to RU 2236091;

<sup>&</sup>lt;sup>17</sup> corresponds to RU 2294055; <sup>18</sup> corresponds to RU 2282310; <sup>19</sup> corresponds to US 6,181,683; <sup>20</sup> corresponds to KR 2002-

<sup>45075; &</sup>lt;sup>21</sup> corresponds to KR 2002-0028846.